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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/525,646	03/24/2005	Takaaki Terahara	7388/84281	8337
20/529	7590	03/04/2008		
NATH & ASSOCIATES 112 South West Street Alexandria, VA 22314			EXAMINER SASAN, ARADHANA	
			ART UNIT 1615	PAPER NUMBER
			MAIL DATE 03/04/2008	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/525,646

**Applicant(s)**

TERAHARA ET AL.

**Examiner**

ARADHANA SASAN

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 December 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1 and 3-7 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 and 3-7 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/CDC)
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date: \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_
- Paper No(s)/Mail Date: \_\_\_\_\_

**DETAILED ACTION**

***Status of Application***

1. The remarks and amendments filed on 12/11/07 are acknowledged.
2. Claims 1 and 3-7 are included in the prosecution.

***Response to Arguments***

**Rejection of claims 1-6 under 35 USC § 103(a)**

3. Applicant's arguments, see page 2, filed 12/11/07, with respect to the rejection of claims 16 under 35 U.S.C. § 103(a) as being unpatentable over Higo et al. (US 5,866,157) in view of Arth et al. (US 6,461,636) have been fully considered and are persuasive. The rejection of 9/19/07 has been withdrawn.

**Provisional rejection of claims 1-2 and 4-6 under obviousness-type double patenting**

4. Applicant's request, see page 8, filed 12/11/07, to hold the rejection (of claims 1-2 and 4-6 on the ground of nonstatutory obviousness-type double patenting over claims 1-11 of copending Application No. 10/526,065) in abeyance until such time as the Examiner indicates there is successful resolution of the claim rejections is acknowledged. However, until the successful resolution of the claims the obviousness-type double patenting rejection will be maintained. in addition, the request to hold a rejection in abeyance is not a proper response to a ground of rejection.
5. Upon further consideration, rejections based on new references Hoffman (US 5,820,876) and Miranda et al. (US 5,656,286) follow.

**NEW REJECTIONS:**

**Claim Rejections - 35 USC § 102**

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

7. Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Hoffman (US 5,820,876).

The claimed invention is a patch comprising a backing layer and an adhesive layer that is disposed on the backing layer. The adhesive layer is compounded with a drug and an adhesive base agent. The adhesive base agent comprises: (i) styrene-isoprene-styrene block copolymer, (ii) 2-ethylhexyl acrylate-vinyl acetate copolymer, and (iii) a basic nitrogen-including polymer, which includes a basic nitrogen and has no adhesion property at normal temperature selected from the group consisting of methyl methacrylate - butyl methacrylate - dimethylaminoethyl methacrylate terpolymer and polyvinyl acetal diethylamino acetate.

Hoffman teaches a transdermal therapeutic system for supplying active substances to the skin (Abstract). The active substance reservoir matrix can be a rubber material such as styrene-isoprene-styrene block copolymer (Col. 4, lines 2-6). Adhesive materials including a self-crosslinking acrylate copolymer, e.g. of 2-ethyl-hexyl acrylate, vinyl acetate and an acrylic resin of dimethylaminoethylmethacrylate and neutral methacrylate (EUDRAGIT E 100 from RÖHM) are disclosed (Col. 7, lines 1-8).

Therefore, the limitations of claim 1 are anticipated by the teachings of Hoffman.

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 1 and 3-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Miranda et al. (US 5,656,286), in view of Hoffman (US 5,820,876).

Miranda teaches "a transdermal drug delivery composition wherein a blend of polymers is utilized to affect the rate of drug delivery from the composition. More specifically, a plurality of polymers ... having differing solubility parameters, preferably immiscible with each other, adjusts the solubility of the drug in a polymeric adhesive system formed by the blend, affects the maximum concentration of the drug in the system, and modulates the delivery of the drug from the composition and through the dermis" (Col. 1, lines 24-34). Styrene-isoprene-styrene block copolymers are disclosed as rubber-based pressure-sensitive adhesives useful in the transdermal composition (Col. 11, lines 20-24). Acrylate polymers useful in the composition are "polymers of one or more monomers of acrylic acids and other copolymerizable monomers ... the acrylate polymer is composed of at least 50% by weight of an acrylate or alkyl acrylate monomer, from 0 to 20% of a functional monomer copolymerizable with the acrylate, and from 0 to 40% of other monomers ... Acrylate monomers which can be used include ... butyl methacrylate, ... 2-ethylhexyl acrylate, ..." (Col. 10, lines 46-62). Functional monomers that are copolymerizable with the alkyl acrylates include

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methacrylic acid, dimethylaminoethyl methacrylate (Col. 10, line 66 to Col. 11, line 4).

The antiparkinsonian drug, pergolide, is disclosed as a drug that can be administered by the transdermal drug delivery system (Col. 23, lines 45-49).

Miranda does not expressly teach 2-ethylhexyl acrylate-vinyl acetate copolymer.

Hoffman teaches a transdermal therapeutic system for supplying active substances to the skin (Abstract). The active substance reservoir matrix can be a rubber material such as styrene-isoprene-styrene block copolymer (Col. 4, lines 2-6). Adhesive materials including a self-crosslinking acrylate copolymer, e.g. of 2-ethyl-hexyl acrylate, vinyl acetate and an acrylic resin of dimethylaminoethylmethacrylate and neutral methacrylate (EUDRAGIT E 100 from RÖHM) are disclosed (Col. 7, lines 1-8).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to make a transdermal drug delivery composition with styrene-isoprene-styrene block copolymers as rubber-based pressure-sensitive adhesives and butyl methacrylate that is copolymerizable with methacrylic acid and dimethylaminoethyl methacrylate as suggested by Miranda, combine it with the transdermal composition with styrene-isoprene-styrene block copolymer, copolymer of 2-ethyl-hexyl acrylate and vinyl acetate, and an acrylic resin of dimethylaminoethylmethacrylate and neutral methacrylate (EUDRAGIT E 100 from RÖHM), as suggested by Hoffman, and produce the instant invention.

One of ordinary skill in the art would have been motivated to do this because Hoffman teaches that the copolymer of 2-ethyl-hexyl acrylate and vinyl acetate is a self-crosslinking acrylate copolymer (Col. 7, lines 1-3).

From the teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole was *prima facie* obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

Regarding instant claim 1, the limitation of the styrene-isoprene-styrene block copolymer would have been obvious over the styrene-isoprene-styrene block copolymers taught by Miranda (Col. 11, lines 20-24). The limitation of 2-ethylhexyl acrylate-vinyl acetate copolymer would have been obvious over the copolymer of 2-ethyl-hexyl acrylate, vinyl acetate taught by Hoffman (Col. 7, lines 1-3). The limitation of the basic nitrogen including polymer would have been obvious over the acrylic resin of dimethylaminoethylmethacrylate and neutral methacrylate (EUDRAGIT E 100 from RÖHM) taught by Hoffman (Col. 7, lines 1-8).

Regarding instant claims 3-4, the limitation of the drug would have been obvious over the pergolide taught by Miranda (Col. 11, lines 20-24)

Regarding instant claim 5, the limitation of the adhesive layer further comprising an organic acid would have been obvious over the enhancers including ascorbic acid taught by Miranda (Col. 33, lines 16-34).

Regarding instant claim 6, the limitation of the adhesive layer further comprising an alicyclic saturated hydrocarbon-based tackifier would have been obvious over the

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plasticizer or tackifying agents including aromatic hydrocarbons taught by Miranda (Col. 33, lines 37-45).

Regarding instant claim 7, the limitation of the weight ratio of the content of the styrene-isoprene-styrene block copolymer to the content of the 2-ethyl-hexyl acrylate vinyl acetate copolymer would have been obvious over the teaching by Miranda that "by varying the amount of each type of monomer added, the cohesive properties of the resulting acrylate polymer can be changed as is known in the art" (Col. 10, lines 51-54). Therefore, one with ordinary skill in the art would modify the ratio of the styrene-isoprene-styrene block copolymer to the content of the 2-ethyl-hexyl acrylate vinyl acetate copolymer during the process of routine experimentation, and the recited ratio would have been an obvious variant unless there is evidence of criticality or unexpected results.

## **MAINTAINED REJECTIONS:**

### ***Double Patenting***

10. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thornton*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory



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double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

11. Claims 1 and 4-6 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-11 of copending Application No. 10/526,065 ('065 hereinafter). Although the conflicting claims are not identical, they are not patentably distinct from each other because the instant claims are drawn to a patch comprising a backing layer and an adhesive layer that is compounded with a drug and an adhesive base agent. The adhesive base agent comprises styrene-isoprene-styrene block copolymer, 2-ethylhexyl acrylate-vinyl acetate copolymer and a basic nitrogen-including polymer, which is selected from methyl acrylate-butyl methacrylate-dimethylaminoethyl methacrylate and polyvinyl acetal diethylamino acetate. The drug is selected from a group containing pergolide. The adhesive layer also comprises an organic acid and an alicyclic saturated hydrocarbon-based tackifier.

Claims 1-11 of '065 are also drawn to a patch comprising a backing layer and an adhesive layer compounded with an adhesive base agent and pergolide. The adhesive base agent comprises an acrylic polymer, a basic nitrogen-including polymer selected from methyl methacrylate-butyl methacrylate-dimethylaminoethyl methacrylate terpolymer and polyvinyl acetal diethylamino acetate. The adhesive layer also comprises an alicyclic saturated hydrocarbon resin-based tackifier. 2-ethylhexyl acrylate-vinyl acetate copolymer is claimed as an acrylic polymer and styrene-isoprene-

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styrene block copolymer is claimed as the rubber polymer. The adhesive layer also contains an organic acid (acetic acid and/or a pharmaceutically acceptable salt). The difference between the instant claims and those of '065 is that claims of '065 include the limitation of the weight ratio of the content of the acrylic polymer to the content of the rubber polymer and the weight ratio of the content of the acrylic polymer and the rubber polymer to the content of the basic nitrogen-including polymer. It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the ratio of the content of the acrylic polymer to the content of the rubber polymer and the weight ratio of the content of the acrylic polymer and the rubber polymer to the content of the basic nitrogen-including polymer during the process of routine experimentation in order to achieve optimal skin absorption of the drug.

The instant claims are obvious over the claims of '065 and thus they are not patentably distinct over each other.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

### ***Conclusion***

12. Due to the new grounds of rejection, this action is made non-final.
13. No claims are allowed.
14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Aradhana Sasan whose telephone number is (571) 272-9022. The examiner can normally be reached Monday to Thursday from 6:30 am to 5:00 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Woodward, can be reached at 571-272-8373. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Aradhana Sasan/  
Examiner, Art Unit 1615

/Michael P Woodward/  
Supervisory Patent Examiner, Art Unit 1615